# The Choice of the Best Surgery After Single Level Anterior Cervical Spine Discectomy: A Systematic Review

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**Abstract:** Background: The anterior cervical discectomy (ACD) is often used to treat spinal cord and nerve root compressions and the frequent use of interbody fusion (ACDF) has popularized it as a common practice associated or not with cages or plates for maintaining the intervertebral disc height.

Objective: The aim of this study is to clarify the effectiveness of ACD compared with ACDF, with or without the use of anterior cervical spacer (Cage) or instrumentation with plate fixation (ACDFI).

Methods: randomized controlled trials or quasi-randomized trials were selected for analysis in one segmental level. The comparison criteria were the rates of success and failure with surgery (Odom's' criteria), fusion rates and kyphosis rates. Electronic search was made in the MEDLINE database (Pubmed), in the Central Registry of randomized trials of Cochrane database and EMBASE.

Results: Seven studies were selected for analysis.

Conclusion: Implications for practice: There is moderate evidence that clinical results of ACD and ACDF are not significant different. There is moderate evidence that addition of intervertebral cage enhance clinical results. There is moderate evidence that anterior cervical plate does not change the clinical results of ACD.

There is moderate evidence that ACD produce more segmental kyphosis than ACDF and ACDFI, with use of cage or plate. There is moderate evidence that ACD produce lower rate of fusion than ACDF and than the cages. There is limited evidence of the lower capacity of PMMA to produce fusion. There is limited evidence that fused patients have better outcome than non fused patients.

Keywords: Diskectomy, cervical spine, surgery, meta analysis.

# INTRODUCTION

The anterior cervical discectomy (ACD) is often used to treat spinal cord and nerve root compressions resistant to conservative treatment. The frequent use of interbody fusion (ACDF) has popularized it as a common practice associated with discectomy, using or not disc spacers (CAGE) or cervical plate for maintaining the intervertebral disc height, to prevent spine misalignments or to correct segmental spinal kyphosis [1].

Though quoted in numerous articles that arthrodesis is the standard surgery associated with anterior cervical discectomy, some studies report good results with the isolated cervical discectomy [2].

While a great part of the best available evidence suggests there are, until now, no reasons to change from more traditional surgeries in cervical spine to cervical arthroplasty, we intend to study the literature recommendations for surgery after anterior cervical spine discectomy (ACD) [3-5].

The aim of this study is to clarify the effectiveness of ACD compared with ACDF, with or without the use of anterior cervical spacer (Cage) or instrumentation with plate fixation (ACDFI).

### **METHODS**

Criteria for selecting studies for this review:

# **Types of Studies**

Randomized controlled trials or quasi-randomized trials were selected for comparing ACD with ACDF, associated with or without a Cage, with or without fixation (ACDFI) in one segmental level. Then, the primary study should compare ACD with any other surgical technique when treating one level cervical spine disease.

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# **Types of Participants**

Adult patients from any gender, with myelopathy or nerve root symptoms and signs did not respond to an initial conser-vative therapy, associated with soft or hard disc herniation, with or without osteophytes, in a single vertebral level disease. The papers describing interventions in one and more levels were included only if it was possible to identify that the therapeutic results were separately described for one and more levels.

#### **Types of Intervention**

We selected controlled trials that compared discectomy alone (ACD) with ACDF in one intervertebral disc space, with or without the use of disc spacer or ACDFI. Quasirandomized studies were included only if there were less than five randomized trials evaluating one specific outcome [6].

#### **Kinds of Measures of Outcome**

The comparison criteria were determined as the rates of success and failure with surgery, described in terms of the intensity of symptoms, limitation on activities of daily living and work (Odom's criteria [7]), fusion rates and kyphosis rates. Returning to work was not studied due to it being subjected to greater intercultural variation, mainly between different countries.

#### The Method for Identification of Studies

Electronic search was made in the MEDLINE database (PubMed), in the Central Registry of randomized trials of Cochrane database (CENTRAL) and EMBASE.

The following search strategies were used with the following descriptors (up to August 2011).

#### MEDLINE SEARCH

1#("discectomy" [Mesh Terms] OR "diskectomy" [All Fields] OR "diskectomy" [All Fields] OR "spinal fusion" [MeSH Terms] AND ("cervical vertebrae" [MeSH Terms] OR "cervical vertebrae" [All Fields] OR "cervical spine" [All Fields]) AND ("intervertebral disk displacement" [MeSH Terms] OR ("intervertebral" [All Fields]) OR "intervertebral disk displacement" [All Fields]] OR ("disc" [All Fields]] AND "prolapse" [All Fields]) OR "disc prolapse" [All Fields]))-1016.

2#"Cervical Vertebrae" [Mesh] AND ("Random Allocation" [Mesh] OR "Randomized Controlled Trial "[Publication Type])-427.

# EMBASE SEARCH

# Cervical Spine and Randomized controlled Trial-207.

# CENTRAL COCHRANE OF RANDOMIZED TRIALS SEARCH

# Cervical spine – 655.

# **Study Selection**

One of the authors conducted the electronic search. Two authors independently assessed the articles by titles and abstracts and selected those related to the search. The disagreements in the selection of studies were resolved by discussion among authors. Screening references given in

relevant systematic reviews and identified RCTs were searched, as well as the Citation tracking. The isolated papers were searched for related publications and the bibliographic citations of selected papers were searched. Personal communications with content experts in the field were searched among authors specialized in spine surgery.

#### Statistic

The individual and pooled findings were described as Odds ratio, Log Odds Ratio and Log risk ratio. The significance of the result was expressed as a confidence interval or a probability of type I error (p). Statistical heterogeneity of the results was assessed using the Cochran Q test and test I2. The software used in the meta-analysis was "comprehensive meta-analysis" (Biostat Copyright 1998-2005, inc).

The judgment on the strength of the evidence on each comparison was based on the recommendations on Levels of Evidence [6]:

Strong - consistent findings among multiple high quality  $\ensuremath{\mathsf{RCTs}}$ 

Moderate - consistent findings among multiple low quality RCTs and/or one high quality RCT

Limited - one low quality RCT

Conflicting - inconsistent findings among multiple RCTs

No evidence from trials - no RCTs.

The potential to pool results was dependent on the comparability of the individual studies, i.e., identical treatments and outcome measures were used, sufficient detail was given to describe the selection criteria and other external validity criteria.

#### Results

The literature search identified 655 papers described as randomized clinical trials, in humans. The closed evaluation resulted in eight randomized or *quasi-randomized* studies comparing ACD with some form of arthrodesis (ACDF) or spacer (CAGE) with or without anterior plate (ACFI) (Table 1).

### **Data Collection and Analysis**

#### **Description of Studies**

Seven studies [2, 8, 3-9] compared randomly isolated discectomy with arthrodesis with autologous bone graft, methylmethacrylate, and titanium spacer (Cage), with or without the use of anterior plate fixation. One paper (Oktenoglu [14]) compared 20 patients in a Quasirandomized trial (Heads or tail-coin) with independent assessment of the results by physical therapists and physiatrists.

Savolainen [2], Xie [8] and Oktenoglu [14] compared discectomy with discectomy and arthrodesis with bone graft and discectomy, bone graft and plate.

Vanderbent [9] compared discectomy with Polymethylmethacrylate as disc spacer.

Hauerberg [10] Compared discectomy with a titanium cage spacer.

Table 1. Description of Compared Surgical Techniques Described by Respective Authors

Author	N	FN	M/F	Age	Compared Surgical Techniques				
Savolainen	91	86	63m/23f	47,86	Disc	Bone graft 1			Plate 1
Xie-Hulbert	45	42	28m/14f	42,76	Disc	Bone graft 1			Plate 2
Van der bent	83	81	65m/16f	47	Disc			PMMA	
Hauerberg	88	79	43m/43f	Median	Disc		cage Tit		
Oktenoglu	20	20	11m/9f	40,5	Disc	Bone graft 2			Plate 3
Rosenorn	63	63	40m/23f	51,5	Disc	Bone graft 3			
Martins	51	51	*	46,4	Disc	Bone graft 3			
Barlocher	125	123	74m/51f	50,5	Disc	Bone graft 4	Cage Tit	PMMA	
Total	584	545	324/179	46,65				•	•

N rand=number of randomized patients at beginning. FN= Number of studied patients. M/W: male/woman proportion. Bone graft: 1:Smith-Robinson technique; 2:Tricortical bone Cage; 3:Cloward; 4:Autologous bone graft. Cage Tit: Titaneum cage; PMMA: Polimethylmetacrilate; Plates (as described) 1: Caspar plate; 2: Codman; 3: Semirigid plate. \*Data originally described as median.

Rosenorn [11] and Martins [12] Compared ACD with ACDF with bone graft.

Barlocher [13] compared four techniques of discectomy, bone graft, titanium cage and polymethylmethacrylate.

The studies and their types of surgery are described in Table 1. The most common clinical outcome used was Odom's scale (Table 2). The outcomes studied are displayed in Table 3.

Table 2. Odom's Criteria

Rating	Odom's Criteria		
Excellent	No complaints referable to cervical disc disease		
Good	Intermittent discomfort related to cervical disease but not significantly interfering with work		
Satisfactory	Subjective improvement but physical activities limited		
Poor	No improvement or worse compared with the condition before the operation		

Five authors described the post operative kyphosis as a percentage of kyphotic patients or as a percentage of slight kyphosis or as a range of kyphosis in grades. Five papers described the fusion rates.

Three papers described differently the differences in rates of neck and arm pain (Table 3).

# Risk of Bias in Included Studies

Seven papers were described as randomized but Oktenoglu's paper [14] was described as Quasi-randomized by lottery coin. Xie [8], Van Der Bent [9], Hauerberg [10], described the randomization methods. Rosenorn [11] described his work only as "lottery style" (Table 4).

All papers but Oktenoglu [14] described their work as randomized. Xie [8] and Hauerberg [10] made randomization by computer program. Xie [8] and Van Der Bent [9] used some kind of patients, derived outcomes or semi-analog scale in some but not all outcomes. Oktenoglu's paper [14] the final evaluation was made by blinded physiotherapist or Rheumatologist.

Only the paper of Savolainen [2] evaluated patients for 4 vears: Only the paper by Martins [12] had a loss of follow up greater than 20% in clinical outcomes. In this search, only 45% had one year of follow up.

Table 3. Outcomes Studied by Each Paper

	Author	FU(Year)	Studied Outcomes						
	Author	re(rear)	Odom's Escale	Kyphosis	Fusion Rate	% Return to Work	Neck & Arm Pain		
1	Savolainen	4	Odom's	% Slight Kyphosis	%Fusion				
2	Xie-Hulbert	2		%§	%Fusion		% absense		
3	Van der Bent	2			%Fusion				
4	Hauerberg	2	Odom's	%					
5	Oktenoglu	1,5			%Fusion		Difference distribution		
6	Rosenorn	1	Odom's			%			
7	Martins	1/2	Odom's	%					
8	Barlocher	1	Odom's	Range	%Fusion	%	% Improvement		

Neck & Arm pain: % absence: Percentage of patients free of pain; Difference distribution: Statistical difference between distribution of pain between techniques.

Table 4. Risk of Bias in Included Studies

AU	Adequate Sequence Generation?	A C	Blinding? Patient-Reported Outcomes	Blinding?	Incomplete Outcome Data Adressed? Short Term Outcomes?
Savolainen	Yes.1. Probably done	No	No	No	96% clinical FU 4y; 78% radiologic FU.
Xie-Hulbert	Yes.2. Probably done	No	Two out of all outcomes are pts derived outcome	No	93% clinical FU 2y
Van der bent	yes.3. Probably done	No	pts using semianalogue scale	No	91% radiologic FU ; 96% clinical FU
Hauerberg	Yes. 4. Probably done	No	No	No	89% 24m clinical FU
Oktenoglu	5. Quasi-randomised	No		No?-Blind analysis et end.	100% 18m clinical FU
Rosenorn	yes. 6. Probably done	No		No	95% 12 M clinical FU
Martins	Yes. 7. Probably done	No	No	No	45% 1 Y clinical FU
Barlocher	Yes.8. Probaly done	No	Only Radiographs were reviwed by independent radiologist.	No	98,5% 1 y FU

AC: Allocation Concealment.

The average of percentage of follow-up was 88.26% for all cases.

#### **Effects of Interventions**

# Discectomy Alone Versus Discectomy and Interbody Fusion with Bone Graft

Five papers compared the success rate obtained with discectomy and with discectomy and fusion using Odom's scale. Patients with excellent and good outcomes were

deemed as success. The calculated pooled Odds ratio for success between discectomy versus fusion is 0.855 (0.476-1,536) p=0.6 (random model). There was no significant difference in this clinical evaluation between both techniques (Fig. 1).

# Discectomy Alone Versus Discectomy and Titanium Cage

Hauerberg [10] and Barlocher studied the success rate (Odom's) between discectomy and titanium cage. In the Barlocher study, patients under discectomy alone had 75.5%

# **ODOM** Discectomy x Fusion

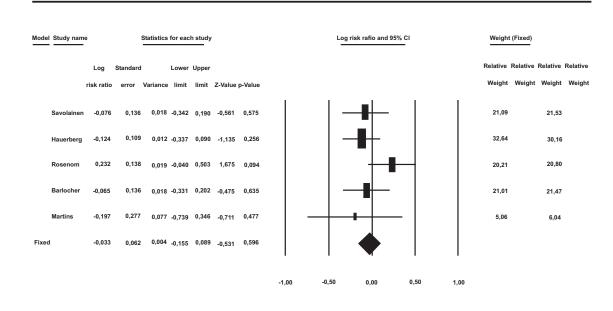
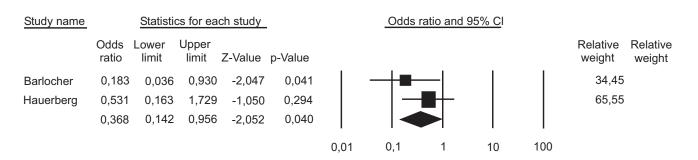


Fig. (1). Comparison between Discectomy alone versus discectomy and interbody fusion with bone graft. Outcome: Log risk ratio of success rate (Odom's scale).

Favours Discectomy

<sup>1.</sup> Randomization was conducted after the selection of the surgeon;2: computer generation matrix;3: a block restricted method using sealed envelopes. 4:150 codes generated by computer;5:quasi-randomised(head or tail-coin);6:described as randomized; 7:described as "lottery style";8:Described as randomized.

# ODOM: Discectomy X Cage



# Favours Cage Favours Discectomy

Meta Analysis

Q=1,083;p=0,29.1<sup>2</sup>=7,664

Fig. (2). Comparison between Discectomy alone versus discectomy and interbody fusion using titanium cage. Outcome: Odds ratio of success rate (Odom's scale).

of success rate (N=33) and under discectomy followed by titanium cage, success rate was 94% (N=36). In Hauerberg's study, patients under discectomy alone had 76.7% of success (N=43) and 86.1% in cage group (N=36). The calculated pooled odds ratio for success between discectomy and fusion was 0.368 (0.142-0.956;p=0.040), favoring the use of titanium cage (Fig. 2).

#### Discectomy Versus **Polymethylmethacrylate** (PMMA) as Spacer

Barlocher used Odom's scale to compare discectomy and PMMA as a spacer or a way to get indirect fusion. There was no clinical difference between the techniques.

# Discectomy Alone Versus Discectomy and Interbody Fusion Associated with Anterior Plate

Savolainem used Odom's scale to compare discectomy and fusion using a plate. There was no difference in clinical status after 4 years follow-up.

#### Postoperative Kyphosis

Savolainen, Xie, Hauerberg [10], Martins and Barlocher studied postoperative kyphosis (Fig. 3).

In the Xie results, there was 75% of segmental kyphosis after ACD. These results were significantly different from the results from fusion and plate (p=0.03) but individual data was not available out of the graphics to be evaluated.

Barlocher [12] described that the average range of kyphosis in their 4 comparative groups. There was 24.2° in ACD, 3.3° in ACDF, 12.5° in PMMA and 2.7° after use of plate. Data from papers by Xie and Barlocher could not be pooled together.

Savolainen [2] described that they had given special attention to the eventual kyphosis of even the slightest degree and compared the number of patients (and proportion) of even slightest Kyphosis between groups.

Hauerber [10] described the number and percentage of patients in each group with increased kyphosis.

Martins [12] classified spine alignment as excellent if lordosis was preserved, good if there was loss of lordosis  $<5^{\circ}$ , fair and poor where kyphosis was  $>5^{\circ}$ . They described the percentage of patients (as well as the number of patients in each group).

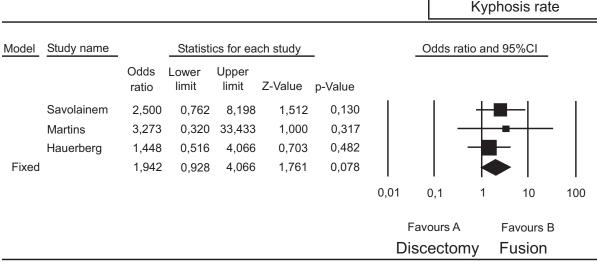
We've pooled and compared the number of patients with slight kyphosis (Sovolainen), increased kyphosis (Hauerberg<sup>10</sup>) and kyphosis >5° (Martins<sup>14</sup>) in both groups (discectomy or fusion) to evaluate the effect size of each treatment in all three papers (Fig. 3). In Savolainem study, 62% of discectomy group had slight Kyphosis (N=24) in comparison with 40% of patients under fusion with bone graft (N=22), after 4 years of follow-up. In Hauerberg's and Martin's studies, 29% and 12% of patients under discectomy had some kind of Kyphosis (N=42 and 26, respectively), while only 22 and 4% of patients under interbody fusion had kyphosis (N=36 and 25, respectively) (Odds ratio=1,94; p=0.078) (Fig. 3).

#### **Fusion Rates**

Four papers studied the fusion rates between ACD and ACDF techniques (Sovalainen, Xie, Van Der Bent and Barlocher [13]) (Fig. 4).

Only the paper of Sovalainen<sup>2</sup> compared results after 4 years follow-up. There was 100% fusion rate in Discectomy only, in fusion group and Caspar plate group, without any difference between them.

studied Van Der Bent ACD compared Polymethylmethacrylate to produce solid fusion. In 22 of the



Meta Analysis

$$Q=0,678; p=0,71.l^2=0,000$$

Fig. (3). Comparison between kyphosis rate between ACD and ACDF. Outcome: Odds ratio: presence of Kyphosis.

35 patients (63%) treated with only ACD compared to only 11 of 39 of the patients (28%) treated with polymethylmethacrylate, fusion was accomplished. A good result was observed in 84% of the patients with solid fusion, compared with 65% of those without solid fusion (p=0.06).

Barlocher compared fusion rates between ACD and autologous bone graft, PMMA and titanium cage. The fusion rates were 93.3, 93.3, no fusion and 97.2%, respectively. The titanium cage has produced the highest rate of fusion and PMMA produced no fusion.

### **DISCUSSION**

Since 2002 cervical arthroplasty has emerged as a promising technique to be used after anterior cervical discectomy [15]. The main argument favoring this technique was a decrease in the number of adjacent level diseases after fusion. One randomized trial comparing the adjacent level as outcome and other systematic reviews hasn't confirmed the desired effect of cervical spine arthroplasty [3-5].

The main effect of surgery is well accomplished with only discectomy, by decompressing spinal cord and cervical nerve roots under compression. Some previous studies have confirmed the anterior cervical discectomy as the surgery to be performed in cervical spine [2].

In the last decade, anterior cervical discectomy and fusion (ACDF) has gained enormous popularity, been cited as the standard approach after ACD [1,13]. The addition of plate was recommended to lower the incidence of extrusion of bone graft after ACDF. In recent times, the development of disc spacers to maintain or enlarge disc space, foramen height and avoid segmental kyphosis after ACD, allowing fusion, has been proposed to be used without vertebral plates, limiting the extent of surgical dissection needed to insert the plates.

This study compared the relative merits of isolated ACD, the use of bone graft in disc space, the use of cage to promote fusion and plate in one level cervical spine disc disease. Among 655 randomized studies in cervical spine, 7 papers compared ACD with the other techniques defined. Five hundred and eighty four patients were randomized (6 papers) or quasi-randomised (1 paper), performing the aimed comparison.

The majority of papers used Odom's criteria which provided a mix of healthy status and daily activities limitations. The amount of postoperative Kyphosis and fusion rate were considered the outcomes to be studied in these papers.

Some authors have valorized the fusion rates and pseudoarthrosis rate as influencing clinical outcomes, and kyphosis in cervical spine has been related to painful disorders and progression of adjacent level degeneration in the spine as a whole [13].

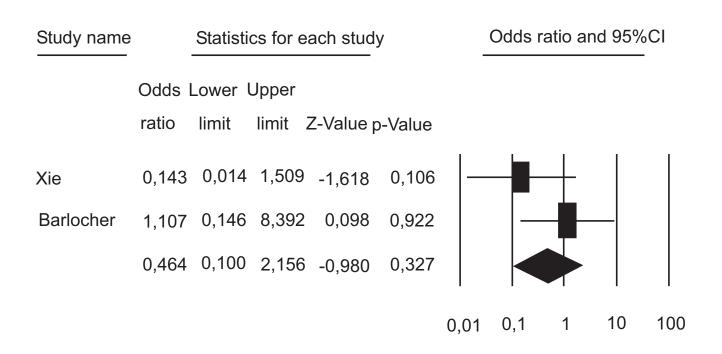
Five papers compared ACD with ACD and the use of autologous bone graft and weren't able to find any difference in clinical outcomes (Odom's) (Fig. 1).

The clinical comparison between ACD and using PMMA as a spacer was not significantly different. Due to the amount of extrusions and complications with PMMA, it hasn't been no longer considered for use in majority of countries.

The comparison between ACD and ACDF with spacers (titanium cage) revealed a greater success rate. The theoretical reasons were enlargement or maintenance of disc height, and prevention of postoperative segmental kyphosis while permitting fusion.

The use of plate in promoting better clinical outcomes (ODOM'S) did not reveal any benefit in only one available paper (Savolainen).

# Fusion Rate Discectomy X Fusion



Favours ACDF Favours ACD

Fig. (4). Comparison between fusion rates between ACD and ACDF. Outcome Odds ratio of fusion rates.

While most disc segment show the evolutes to spontaneous arthrodesis in 4 years, the follow-up in the only paper studied with this outcome did not reveal any difference in the fusion rates between ACD and ACDF.

#### **Implications for Practice**

There is moderate evidence that clinical results of ACD and ACDF are not significantly different.

There is moderate evidence that the addition of intervertebral cage enhances clinical results.

There is moderate evidence that anterior cervical plate does not change the clinical results of ACDF in one cervical spine level.

There is moderate evidence that ACD produce more segmental kyphosis than ACDF and ACDFI, with the use of cage or plate.

There is moderate evidence that ACD produce lower rate of fusion than ACDF and a lower rate than the use of cages.

There is limited evidence of the lower capacity of PMMA to produce fusion.

There is limited evidence that fused patients have a better outcome than non fused patients.

#### ACKNOWLEDGEMENT

Declared none.

#### CONFLICT OF INTEREST

Declared none.

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